

AB 2023:18/11-2

10/4/2023

2002290, 2004683, 2008429, 2019030

TO: FAA (AFS-400)

INFO: FAA (AVP-1, ANG-1, AVP-200, AFS-900, AFS-280, AFS-100, AFS-200, Director

of Air Traffic Operations - WSA, CSA, ESA (North and South)), A4A, ALPA, AOPA, APA, ASAP, ATSAP, ATSG, CAPA, ICAO, ICASS, IFALPA, NAFI,

NATCA, NBAA, NTSB, RAA, SWAPA

FROM: Becky L. Hooey, Director

NASA Aviation Safety Reporting System

SUBJ: CPDLC Domestic Operational Issues

We recently received ASRS reports describing a safety concern that may involve your area of operational responsibility. We do not have sufficient details to assess either the factual accuracy or possible gravity of the report. It is our policy to relay the reported information to the appropriate authority for evaluation and any necessary follow-up. We feel you should be aware of the following:

ASRS has received numerous reports from controllers, flight crews and dispatchers describing issues associated with the domestic Controller Pilot Data Link Communications (CPDLC) system.

(ACN 2002290) Captain reported the flight crew received a domestic CPDLC departure climb via clearance and mis-read the cleared altitude on the CPDLC format, resulting in an altitude deviation. ATC confirmed the cleared altitude on the SID with the flight crew, then re-cleared the flight to a higher altitude.

(ACN 2004683) Air carrier flight crew reported receiving three CPDLC messages in less than two minutes during the arrival phase. The flight crew flew the routing and the descent clearances but failed to transmit the acknowledgment message to ATC.

(ACN 2008429) Tower Controller reported a flight crew was confused about the departure clearance they received via CPDLC due to the confusing format.

(ACN 2019030) An air carrier pilot reported they received a reroute via CPDLC. They failed to read the whole message and loaded the route incorrectly causing them to deviate off course.

To properly assess the usefulness of our alert message service, we would appreciate it if you would take the time to give us your feedback on the value of the information that we have provided. Please contact Dr. Becky Hooey at (408) 541-2854 or email at becky.l.hooey@nasa.gov.





ACN	2002290
DATE / TIME	
Date of Occurrence	202305
Local Time Of Day	1201 to 1800
PLACE	
Locale	A90.TRACON
State	NH
Altitude - MSL	2300
AIRCRAFT / EQUIPMENT X	
ATC / Advisory - TRACON	A90
Make Model Name	Light Transport, Low Wing, 2 Turbojet Eng
Operating Under FAR Part	91
COMPONENT 1	
Aircraft Component	Air/Ground Communication
COMPONENT 2	
Aircraft Component	MCP
PERSON 1	
Function - Air Traffic Control	Departure
Function - Flight Crew	Captain
Function - Flight Crew	Pilot Not Flying
ASRS Report Number	2002290
EVENTS	
Anomaly	ATC Issue - All Types
Anomaly	Conflict - Airborne Conflict
Anomaly	Deviation - Altitude - Excursion From Assigned Altitude
Anomaly	Deviation - Altitude - Overshoot
Anomaly	Deviation / Discrepancy - Procedural - Clearance
Anomaly	Deviation / Discrepancy - Procedural - Published
	Material / Policy
Detector - Automation	Aircraft Other Automation
Detector - Person	Air Traffic Control
Result - Flight Crew	Requested ATC Assistance / Clarification
Result - Air Traffic Control	Issued New Clearance

NARRATIVE 1

We [had] a CPDLC (Controller Pilot Data-Link Communications) Pre-Departure Clearance, "CLEARED TO TEB ARPT BLZZR5 THEN AS FILED, CLIMB VIA SID, EXPECT 13000 ft." We both misread the clearances and understood it to be except 13,000 ft. We set the altitude preselector to 13,000 [ft]. When contacting Departure, I checked in with present altitude of 2300 [ft.] climbing to 13,000 [ft]. We received a TA with traffic that was above us. We thought it was due to climb rate, so we reduced the climb to avoid any further alerts. The Controller then asked what altitude we were climbing to and I repeated 13,000 ft. She then said we were given 5000 ft. and to continue climb to 13,000 [ft].

Suggest adding, "TOP ALTITUDE XXXXX," to the, "CLIMB VIA SID, TOP ALTITUDE 5000, THEN EXPECT," or eliminate, "EXPECT ALTITUDE," altogether, or change to, "REQUESTED ALTITUDE 10 MINS AFTER DEPARTURE." CPDLC Communications should be able to detect incorrect altitude in the ALT PRESELECTOR. Also, Controller should have caught that I was climbing to wrong altitude on the check in. We had just made a flight from ZZZZ. On their SID's, it requires you to check in with, "CALLSIGN, SID, CURRENT ALTITUDE, CLEARED ALTITUDE." Maybe we can add this domestically. Thanks for helping improve the system and eliminate opportunities for errors.

SYNOPSIS

Captain reported the flight crew received a domestic CPDLC departure climb via clearance and mis-read the cleared altitude on the CPDLC format, resulting in an altitude deviation. ATC confirmed the cleared altitude on the SID with the flight crew, then re-cleared the flight to a higher altitude.

ACN 2	2004683
DATE / TIME	
Date of Occurrence Local Time Of Day	202305 0601 to 1200
PLACE	
Locale State Altitude - MSL	ZAU.ARTCC IL 20000
AIRCRAFT / EQUIPMENT X	
ATC / Advisory - Center Make Model Name Operating Under FAR Part	ZAU Commercial Fixed Wing 121
PERSON 1	
Function - Flight Crew Function - Flight Crew ASRS Report Number	Captain Pilot Flying 2004683
PERSON 2	
Function - Flight Crew Function - Flight Crew ASRS Report Number	First Officer Pilot Not Flying 2004671
EVENTS	
Anomaly Anomaly Anomaly Anomaly	ATC Issue - All Types Deviation - Altitude - Crossing Restriction Not Met Deviation - Track / Heading - All Types Deviation / Discrepancy - Procedural - Clearance Deviation / Discrepancy - Procedural - Published Material / Policy
Detector - Person	Flight Crew
Result - Flight Crew NARRATIVE 1	Overcame Equipment Problem

In descent to MDW, we were handed off to a new ATC frequency via CPDLC acknowledged and checked in. MDW Center then sent us three CPDLC messages in less than two minutes. We received and acknowledged the first message to descend and maintain FL210. We heard the chime again and saw cross MEGGZ at 11,000 ft. I verified MEGGZ at 11,000 ft. in the FMC and on the MCP (Mode Control Panel) and thought that I acknowledged the CPDLC. We did not see the clearance to proceed direct MEGGZ which was sent also but in a separate message. We also had the ACARS chime in the midst of this for landing data as we were late to accomplish the Descent Checklist.

As we were descending through FL200, ATC inquired if we had received the direct MEGGZ and the cross MEGGZ at 11,000 ft. messages, because ATC was not showing an acknowledgment from us. We responded that we had received the crossing MEGGZ at 11,000 ft., but not the direct to MEGGZ. When we reviewed the CPDLC log page, we saw the direct to message which we hadn't acknowledged, and we saw that we hadn't actually acknowledged the descent to cross MEGGZ at 11,000 ft. either.

First, with expectation bias, I was not thorough when I heard the chime and saw the ATC message to ensure I didn't have more than one open ATC message. I also missed verifying on second page of the notification that I accepted. We should have been finished with receiving landing data prior to this stage of flight. Two recommendations regarding CPDLC; the ATC message should remain or flash if a message wasn't acknowledged, and if there is any way that the audible chime could be different from an ACARS chime that would also be helpful.

NARRATIVE 2

About 20 miles south of PANGG through FL200, MDW Center called and asked if we received via CPDLC direct to MEGGZ and 11,000 ft. crossing restriction. We said that we received the crossing restriction but not the direct to MEGGZ. At the time of the communication, we were direct to PANGG, MEGGZ was next point on the arrival. We were set up to cross MEGGZ at 11,000 ft.

When we looked back through the log, we noticed that the two clearances were sent separately and at the same time. As a crew we acknowledged the crossing restriction but did not select ACCEPT on page 2. We failed to see and respond to the direct to MEGGZ clearance. After the ATC prompt we accepted the crossing restriction that we were already performing and then proceeded to MEGGZ. No further incident.

Need to continue to page 2 and accept. Need to make sure there are no new messages in the log. Aircraft should have an "ATC message" voice notification or something other than the standard ACARS tone. In a descent when we are picking up weather and landing information, it is hard to differentiate between all the tones and becomes a distraction.

SYNOPSIS

Air carrier flight crew reported receiving three CPDLC messages in less than two minutes during the arrival phase. The flight crew flew the routing and the descent clearances but failed to transmit the acknowledgment message to ATC.

ACN 2008429		
DATE / TIME		
Date of Occurrence Local Time Of Day	202306 0001 to 0600	
PLACE		
Locale State Altitude - AGL	ZZZ.Airport US 0	
AIRCRAFT / EQUIPMENT X		
ATC / Advisory - Tower Make Model Name Operating Under FAR Part	ZZZ B737 Undifferentiated or Other Model 121	
COMPONENT 1		
Aircraft Component	Data Transmission and Automatic Calling	
PERSON 1		
Function - Air Traffic Control ASRS Report Number	Flight Data / Clearance Delivery 2008429	
EVENTS		
Anomaly Anomaly Anomaly	Aircraft Equipment Problem - Less Severe ATC Issue - All Types Deviation / Discrepancy - Procedural - Published Material / Policy	
Detector - Person	Air Traffic Control	
Detector - Person	Flight Crew	
Result - Flight Crew Result - Air Traffic Control	Requested ATC Assistance / Clarification Provided Assistance	
NARRATIVE 1		

CPDLC (Controller Pilot Data-Link Communications) clearance issue where the crew is confused by the format. Aircraft X called me at Clearance Delivery asking why they had climb via SID and no SID. I explained to them the format and hypothesized exactly what they had, describing the three pages, and where each element lies. They said they saw it now, had both missed it, and thanked me for clarifying. The difference in what is presented to us (ATC) versus what is presented to them (Flight Crews) is as varied as a child knowledge and a post graduate degree. There is inherent risk in over complicating technology in a safety related system, when a very large gap in technology and trainability exists. Feel free to share as you see fit. Change the CPDLC departure clearance format so it is intuitive and makes sense for the flight crews' flow, not for the engineers (easy assumption) who designed it.

SYNOPSIS

Tower Controller reported a flight crew was confused about the departure clearance they received via CPDLC due to the confusing format.

ACN 2019030		
DATE / TIME		
Date of Occurrence Local Time Of Day	202307 0601 to 1200	
PLACE		
Locale State	ZZZ.ARTCC US	
AIRCRAFT / EQUIPMENT X		
ATC / Advisory - Center Make Model Name Operating Under FAR Part	ZZZ B737-800 121	
PERSON 1		
Function - Flight Crew Function - Flight Crew ASRS Report Number	Captain Pilot Flying 2019030	
EVENTS		
Anomaly Anomaly Detector - Person Result - Flight Crew Result - Flight Crew Result - Flight Crew Result - Flight Crew Result - Air Traffic Control Result - Air Traffic Control	Deviation - Track / Heading - All Types Deviation / Discrepancy - Procedural - Clearance Air Traffic Control Became Reoriented Requested ATC Assistance / Clarification Returned To Clearance Issued New Clearance Provided Assistance	
NARRATIVE 1		

In the future I will be certain to read ALL of the incoming CPDLC message, ask for confirmation from other pilot and to use the Load new ROUTE function and then reverify the clearance from the CPDLC against the FMC

wanted to manually program the FMC with the Direct in order to utilize the abeam waypoints function.

before executing the new route in the FMC, using the pilot monitoring (PM) to verify the new clearance

SYNOPSIS

loaded correct.

Expectation Bias.

An air carrier pilot reported they received a reroute via CPDLC. They failed to read the whole message and loaded the route incorrectly causing them to deviate off course.